



# CODEBOOKS

- We have already seen that we can write down principles like one-vs-rest and one-vs-one reduction compactly by so-called **codebooks**.
- During training, a scoring classifier is trained for each column.
- The  $k$ -th row is called **codeword** for class  $k$ .
- Knowing the principle of **codebooks**, we can define multiclass-to-binary reductions quite flexibly.
- We can now ask ourselves, how to create optimal codebooks.



Class	$f_1(\mathbf{x})$	$f_2(\mathbf{x})$	$f_3(\mathbf{x})$
1	1	-1	-1
2	-1	1	-1
3	-1	-1	1

Class	$f_1(\mathbf{x})$	$f_2(\mathbf{x})$	$f_3(\mathbf{x})$
1	1	-1	0
2	-1	0	1
3	0	1	-1

Left: one-vs-rest codebook. Right: one-vs-one codebook.

## CODEBOOKS: DECIDING LABELS

For a general codebook, once we trained the classifiers, how to predict the class  $\hat{y}$  for a new input  $\mathbf{x}$ ?

- When a new sample  $\mathbf{x}$  is going to be classified, all classifiers  $f_k$  are applied to  $\mathbf{x}$ , scores are potentially transformed and turned into binary labels by  $\text{sgn}(f_k(\mathbf{x}))$ .

Class	$f_1(\mathbf{x})$	$f_2(\mathbf{x})$	$f_3(\mathbf{x})$
1	1	1	0
2	-1	1	1
3	0	-1	-1
$\text{sgn}(\hat{f}(\mathbf{x}))$	-1	1	-1

- We obtain a code for the observation  $\mathbf{x}$  for which we can calculate the distance to the codewords of the other classes. This can be done by **Hamming distance** (counting the number of bits that differ) or by  **$L_1$ -distance**.



## CODEBOOKS: DECIDING LABELS / 2

- For example, the  $L_1$ -distance between  $\text{sgn}(\hat{f}(\mathbf{x})) = (-1, 1, -1)$  and the class 1 codeword  $(1, 1, 0)$  is 3.
- We can do so for all the classes to obtain respective distances:

Classes	Dist
1	3
2	2
3	3

The distance for class 2 is minimal, therefore we predict class 2 for the input  $\mathbf{x}$ .



## ERROR-CORRECTING CODES (ECOC) / 2

Another desirable property is **column separation**:

- Columns should be uncorrelated.
- If two columns  $k$  and  $l$  are similar or identical, a learning algorithm will make similar (correlated) mistakes in learning  $f_k$  and  $f_l$ .
- Error-correcting codes only succeed if the errors made in the individual classifiers are relatively uncorrelated, so that the number of simultaneous errors in many classifiers is small.
- Errors in classifiers  $f_k$  and  $f_l$  will also be highly correlated if the bits in those columns are complementary.
- Try to ensure that columns are neither identical nor complementary.

→ **We want to maximize distances between rows, and want the distances between columns to not be too small (identical columns) or too high (complementary columns).**



## ERROR-CORRECTING CODES (ECOC) / 3

### Remark:

- In general, if there are  $k$  classes, there will be at most  $2^{k-1} - 1$  usable binary columns.
- For example for  $k = 3$ , there are only  $2^3 = 8$  possible columns. Of these, half are complements of the other half. The columns that only contain 1s or the one that only contains  $-1$ s are also not usable.

Class	$f_1(\mathbf{x})$	$f_2(\mathbf{x})$	$f_3(\mathbf{x})$	$f_4(\mathbf{x})$	$f_5(\mathbf{x})$	$f_6(\mathbf{x})$	$f_7(\mathbf{x})$	$f_8(\mathbf{x})$
1	-1	-1	-1	-1	1	1	1	1
2	-1	-1	1	1	-1	-1	1	1
3	-1	1	-1	1	-1	1	-1	1



## ERROR-CORRECTING CODES (ECOC) / 4

Assume we have the budget to train  $L$  binary classifiers and now want to find an error-correcting code with maximal row and column separation.

- For only few classes  $g \leq 11$ , exhaustive search can be performed and a codebook that has good row and column separation is chosen.
- However, for many classes  $g > 11$ , it becomes more and more challenging to find the optimal codebook with codewords of length  $L$ .
- *Dietterich et al.* employed a randomized hill-climbing algorithm for this task.

